### PATENT APPLICATION

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Docket No: Q79011

Koji KAMEI

Appln. No.: National Stage of PCT/JP2004/018689

Confirmation No.: Unknown Group Art Unit: Unknown

Filed: June 6, 2006 Examiner: Unknown

For: GALLIUM NITRIDE-BASED COMPOUND SEMICONDUCTOR LIGHT-EMITTING

DEVICE AND NEGATIVE ELECTRODE THEREOF

# INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §§ 1.97 and 1.98

#### MAIL STOP AMENDMENT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure under 37 C.F.R. § 1.56, Applicant hereby notifies the U.S. Patent and Trademark Office of the documents which are listed on the attached PTO/SB/08 A & B (modified) form and/or listed herein and which the Examiner may deem material to patentability of the claims of the above-identified application.

One copy of each of the listed documents is submitted herewith, except for the following: U.S. patents and/or U.S. patent publications; and co-pending non-provisional U.S. applications filed after June 30, 2003.

The present Information Disclosure Statement is being filed: (1) No later than three months from the application's filing date; (2) Before the mailing date of the first Office Action on the merits (whichever is later); or (3) Before the mailing date of the first Office Action after

INFORMATION DISCLOSURE STATEMENT

Appln. No.: National Stage of PCT/JP2004/018689

Attorney Docket No. Q79011

filing a request for continued examination (RCE) under §1.114, and therefore, no Statement

under 37 C.F.R. § 1.97(e) or fee under 37 C.F.R. § 1.17(p) is required.

In compliance with the concise explanation requirement under 37 C.F.R. § 1.98(a)(3) for

foreign language documents, Applicant encloses herewith a copy of a Communication from a

foreign patent office in a counterpart application citing such documents (International Search

Report for PCT/JP2004/018689 dated March 22, 2005), together with an English-language

version (if not already included) of at least that portion of the Communication indicating the

degree of relevance found by the foreign patent office.

The submission of the listed documents is not intended as an admission that any such

document constitutes prior art against the claims of the present application. Applicant does not

waive any right to take any action that would be appropriate to antedate or otherwise remove any

listed document as a competent reference against the claims of the present application.

The USPTO is directed and authorized to charge all required fees, except for the Issue

Fee and the Publication Fee, to Deposit Account No. 19-4880. Please also credit any

overpayments to said Deposit Account.

Respectfully submitted,

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WASHINGTON OFFICE

23373 CUSTOMER NUMBER

Date: June 6, 2006

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## AP20 Rec'd PCT/PTO 06 JUN 2006

	<u></u>			MODIFIED PTO/SB/08 A & B (08-03)			
				Complete if Known			
Substitute for Form 144	49 A & B/PTO				National Stage of		
				Application Number	PCT/JP2004/018689		
INFORMATION DISCLOSURE				Confirmation Number	Unknown / 201 / 21		
STATEMENT BY APPLICANT			<u>ANT</u>	Filing Date	June 6, 2006		
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(use as many sheets as necessary)				Art Unit	Unknown		
				Examiner Name			
Sheet	1	of	1	Attorney Docket Number	Q79011		

	U.S. PATENT DOCUMENTS						
	Cite	Document No	Document Number				
	No.1	Number	Kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document		
		US 2003/0194826	A1	10/16/2003	TOSHIAKI CHIYO		
		US 5,422,500	Α	06/06/1995	TADASHI TOMIKAWA, ET AL		
		US					
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	FOREIGN PATENT DOCUMENTS							
Examiner Cite Initials* No.1	Foreign Patent Document			Publication Date	Name of Patentee or			
	Country Code <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)	MM-DD-YYYY	Applicant of Cited Document	Translation <sup>6</sup>		
		JP	2003-110140	Α	04/11/2003	NICHIA CHEM. IND. LTD.	Abstract	
		JP	2002-368271	Α	12/20/2002	TOYODA GOSEI CO. LTD.	Abstract	
		JP	2003-224298	Α	08/08/2003	NICHIA CHEM. IND. LTD.	Abstract	
		JP	5-291621	Α	11/05/1993	NICHIA CHEM. IND. LTD.	Abstract	
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Examiner Initials*	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city, and/or country where published.	Translation <sup>6</sup>
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<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>&</sup>lt;sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kind Codes of USPTO Patent Documents at www.uspto.gov, MPEP 901.04 or follow the hyperlink from the title of the document to the intranet. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST. 3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>3</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to indicate here if English language Translation is attached.